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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of:  
Hennings et al.

**TRANSMITTAL**

Serial No.: 10/699,212

Filing Date: October 30, 2003

Date Mailed: June 18, 2004

Attorney Docket No.: NSL-501

Examiner:

Group Art Unit: 3739

Title: ENDOVENOUS CLOSURE  
OF VARICOSE VEINS WITH  
MID INFRARED LASER

Commissioner of Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**TRANSMITTAL**

Dear Sir:

Enclosed please find the following documents related to the above-entitled patent application:

1. SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT (R.56): 3 page(s);
2. IDS Form PTO/SB/08A: 2 page(s);
3. Prior Art Document(s): 21; and
4. Return Postcard.

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Attorney Docket No.: NSL-501

Respectfully submitted,

RAY K. SHAHANI  
ATTORNEY AT LAW

Dated: June 18, 2004

By: \_\_\_\_\_

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**CERTIFICATE OF MAILING**

I hereby certify that this paper and the documents attached hereto are being deposited in a postage prepaid, sealed envelope with the United States Postal Service using First Class Mail service under 37 CFR 1.08 on the date indicated and is addressed to "Commissioner of Patents and Trademarks, Alexandria VA 22313". Signed: \_\_\_\_\_

Date Mailed: June 18, 2004

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Filing Date: October 30, 2003

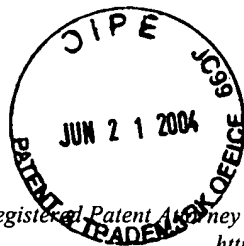
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SUPPLEMENTAL INFORMATION  
DISCLOSURE STATEMENT (R.56)

Date Mailed: June 18, 2004

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Alexandria, VA 22313

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT(R. 56)

Dear Sir:

Pursuant to 37 C.F.R. 1.97 the Applicant submits the following information disclosure statement and the accompanying documents.

The Applicant has not caused the comprehensive search to have been made concerning the invention disclosed and claimed in the application; this information simply presents those items known to the Applicant which the Applicant considers material to an examination of this application.

CERTIFICATION RE FOREIGN APPLICATION CITATION

Applicants hereby certify that each item of information contained in this information disclosure

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT (R-56)

Date Filed: October 30, 2003  
Date Mailed: June 18, 2004

Page 1 of 3

Title: ENDOVENOUS CLOSURE OF VARICOSE VEINS  
WITH MID INFRARED LASER  
Serial No.: 10/699,212  
Attorney Docket No.: NSL-501

statement cited to Applicant(s) in a communication from a foreign search report in a counterpart foreign application was not cited to Applicant(s) more than three months prior to the filing of this statement.

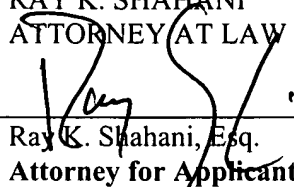
The accompanying documents include:

1. U.S. Patent No. 6,638,273, U.S. Class No. 606/27, issued Oct. 28, 2003 to Farley et al.
2. U.S. Patent No. 6,263,248, U.S. Class No. 607/98, issued Jul. 17, 2001 to Farley et al.
3. U.S. Patent No. 6,139,527, U.S. Class No. 604/114, issued Oct. 31, 2000 to Laufer et al.
4. U.S. Patent No. 6,135,997, U.S. Class No. 606/27, issued Oct. 24, 2000 to Laufer et al.
5. U.S. Patent No. 6,033,398, U.S. Class No. 606/27, issued Mar. 7, 2000 to Farley et al.
6. U.S. Patent No. 6,014,589, U.S. Class No. 607/98, issued Jan. 11, 2000 to Farley et al.
7. U.S. Patent No. 6,520,975, U.S. Class No. 606/159, issued Feb. 18, 2003 to Branco
8. U.S. Patent No. 6,361,496, U.S. Class No. 600/437, issued Mar. 26, 2002 to Zikorus et al.
9. U.S. Patent No. 6,273,883, U.S. Class No. 606/9, issued Aug. 14, 2001 to Furumoto
10. U.S. Patent No. 6,270,476, U.S. Class No. 604/95.04, issued Aug. 7, 2001 to Santoianni et al.
11. U.S. Patent No. 6,228,078, U.S. Class No. 606/32, issued May 8, 2001 to Eggers et al.
12. U.S. Patent No. 6,200,332, U.S. Class No. 607/89, issued Mar. 13, 2001 to Del Giglio
13. U.S. Patent No. 6,176,854, U.S. Class No. 606/15, issued Jan. 23, 2001 to Cone
14. U.S. Patent No. 5,984,915, U.S. Class No. 606/9, issued Nov. 16, 1999 to Loeb et al.
15. U.S. Patent No. 4,899,741, U.S. Class No. 606/27, issued Feb. 13, 1990 to Bentley et al.
16. U.S. Patent No. 6,197,020, U.S. Class No. 606/9, issued Mar. 6, 2001 to O'Donnell, Jr.
17. U.S. Patent No. 6,626,899, U.S. Class No. 606/14, issued Sep.30, 2003 to Houser et al.
18. U.S. Patent No. 6,451,044, U.S. Class No. 607/96, issued Sep.17, 2002 to Naghavi et al.

19. U.S. Patent No. 6,224,593, U.S. Class No. 606/41, issued May 1, 2001 to Ryan et al.
20. U.S. Patent No. 6,346,105, U.S. Class No. 606/41, issued Feb. 12, 2002 to Tu et al.
21. U.S. Patent No. 6,083,223, U.S. Class No. 606/52, issued Jul. 4, 2000 to Baker.

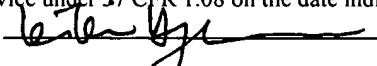
Respectfully submitted,

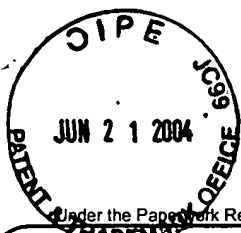
Dated: June 18, 2004

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PTO/SB/08A (08-03)

Approved for use through 07/31/2006. OMB 0651-0031

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Substitute for form 1449/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

**Complete if Known**

Application Number	10/699,212
Filing Date	October 30, 2003
First Named Inventor	HENNINGS, David R.
Art Unit	3739
Examiner Name	
Attorney Docket Number	NSL-501

Sheet 1 of 2

**U. S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)			
		US- 6638273	10-28-2003	Farley et al.	
		US- 6263248	07-17-2001	Farley et al.	
		US- 6139527	10-31-2000	Laufer et al.	
		US- 6135997	10-24-2000	Laufer et al.	
		US- 6033398	03-07-2000	Farley et al.	
		US- 6014589	01-11-2000	Farley et al.	
		US- 6520975	02-18-2003	Branco	
		US- 6361496	03-26-2002	Zikorus et al.	
		US- 6273883	08-14-2001	Furumoto	
		US- 6270476	08-07-2001	Santojanni et al.	
		US- 6228078	05-08-2001	Eggers et al.	
		US- 6200332	03-13-2001	Del Giglio	
		US- 6176854	01-23-2001	Cone	
		US- 5984915	11-16-1999	Loeb et al.	
		US- 4899741	02-13-1990	Bentley et al.	
		US- 6197020	03-06-2001	O'Donnell, Jr.	
		US- 6626899	09-30-2003	Houser et al.	
		US- 6451044	09-17-2002	Naghavi et al.	
		US- 6224593	05-01-2001	Ryan et al.	

**FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)				

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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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